

APPENDIX 1

Data protection information for shareholders and shareholder representatives of LEG Immobilien SE

On 25 May 2018, Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of individuals with regard to the processing of personal data, on the free movement of such data and repealing Directive 95/46/EC (Basic Data Protection Regulation – „DSGVO“) and new German data protection regulations entered into force. Among other things, the DSGVO provides for information obligations in connection with the collection of personal data (transparency of data processing).

LEG Immobilien SE will hold the Annual General Meeting in 2021 as a virtual Annual General Meeting without presence with the possibility of participation by electronic connection. Shareholders and their proxies will be able to follow the entire Annual General Meeting via video and audio transmission through a protected Annual General Meeting portal ("AGM-Portal"). The AGM-Portal is operated by our service provider Link Market Service GmbH, Landshuter Allee 10, 80637 Munich, Germany, on our behalf and in accordance with our instructions.

We take data protection for our shareholders very seriously and would like to use the following notes to inform you about the processing of your personal data by LEG Immobilien SE (the "Company") and the rights to which you are entitled under data protection law.

- **1. Who is responsible for data processing?**

LEG Group
Hans-Böckler-Strasse 38
40476 Düsseldorf
Phone 02 11/45 68-0
Fax 02 11/45 68-261
E-mail info@leg-wohnen.de.

If you have any questions about this information, you can contact our data protection officer. The central point of contact for data protection matters in the LEG Group is Data Protection Management, Hans-Böckler-Straße 38, 40476 Düsseldorf, Germany, e-mail privacy@leg-wohnen.de.

The contact person for personal data protection matters and for the supervisory authorities is the LEG Group's Data Protection Officer, Hans-Böckler-Straße 38, 40476 Düsseldorf, Germany, telephone +49 (0)211/74 07 40-77, e-mail datenschutz@leg-wohnen.de.

- **2. What categories of data are processed?**

In connection with the handling of general meetings, the Company processes personal data (in particular name, address and other contact data of the shareholder, number of shares, type of share ownership, access data for the access-protected AGM-Portal, if applicable name and address of the shareholder representative authorised by the respective shareholder) on the basis of the applicable data protection provisions. In addition to personal data of the shareholders stored in the Company's

share register, the Company processes data provided by the shareholders in the context of the registration for the Annual General Meeting or transmitted to the Company by the custodian institutions for the shareholders on this occasion (in particular powers of attorney, instructions, votes by postal ballot or objections to the minutes of the Annual General Meeting).

When you visit our AGM-Portal on the Internet, we collect data about accesses to our AGM-Portal. The following data and device information are logged in the web server log files:

Retrieved or requested data;
Date and time of retrieval;
Message whether the retrieval was successful;
Type of web browser and operating system used;
Referrer URL (the previously visited page);
IP address;
Shareholder number and session ID; and
Login.

Your browser automatically transmits this data to us when you visit our AGM-Portal.

- **For what purposes and on the basis of what legal grounds is your data processed? From whom does the company receive which data?**

We process your personal data in compliance with the German Data Protection Regulation (DSGVO), the German Federal Data Protection Act (BDSG), the German Stock Corporation Act (AktG), the Act on Measures in Company, Cooperative, Association, Foundation and Condominium Law to Combat the Effects of the COVID 19 Pandemic (COVID 19-Act) and all other relevant legal provisions.

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- Art. 2 § 1 para. 2 of the Act to Mitigate the Consequences of the COVID-19 Pandemic in Civil, Insolvency and Criminal Procedure Law (COVID-19 Act)

The shares of the Company are registered shares. Section 67 AktG provides that registered shares must be entered in the company's share register, stating the name, date of birth, address of the shareholder and the number of shares or the share number. The shareholder is generally obliged to inform the company of this information. As a rule, the credit institutions involved in the acquisition or custody of your registered shares in the Company forward the information relevant for the maintenance of the share register (in addition, e.g. also nationality, gender and submitting bank) to the share register on your behalf. This is done via Clearstream Banking AG, Frankfurt, which, as central securities depository, carries out the technical processing of securities transactions and the safekeeping of shares for the credit institutions. If your shares are sold, we are also informed of this via Clearstream Banking AG, Frankfurt.

The Company uses your personal data for the purposes provided for in the AktG. These are, in particular, the maintenance of the share register, communication with custodian banks and shareholders as well as various processes in connection with the holding of Annual General Meetings (e.g. transmission of access data and information for the Annual General Meeting, identification of shareholders for the processing of enquiries, registration for the Annual General Meeting,

documentation of the right to participate and preparation of the list of participants, if applicable).

Section 67 para. 6 Sentence 4 AktG stipulates that the data entered in the share register may only be used for advertising the company if the shareholder does not object. Shareholders must be informed of their right to object in an appropriate manner (Section 67 para. 6 sentence 5 AktG). The company fulfils this obligation, among other things, by means of the objection instruction contained in this information in Section 7. In the event that the data entered in the share register is used for advertising the company, the processing is based on Art. 6 para. 1 lit. f) DSGVO.

In addition, we may use your data for purposes compatible with these purposes (in particular to compile statistics, e.g. for the presentation of shareholder development, number of transactions, or overviews of the largest shareholders). In addition, we also process your personal data to comply with other legal obligations, e.g. regulatory requirements as well as stock corporation, commercial and tax law retention obligations.

The legal basis for the processing of your personal data by the Company is the AktG in conjunction with Art. 6 para. 1 lit. c) DSGVO. In this respect, the DSGVO expressly provides that the processing of personal data is also justified on the basis of specific legal obligations (outside the DSGVO).

In individual cases, the Company may also process your data to protect the legitimate interests of the Company or a third party pursuant to Art. 6 para. 1 lit. f) DSGVO. Among other things, a legitimate interest of the Company exists if, for example, in the case of capital increases, we have to exclude individual shareholders or groups of shareholders from the information on subscription offers due to their nationality or place of residence in order not to violate legal provisions of certain countries. For the right to object to the processing of data to protect legitimate interests, see section 7 below.

In connection with the conduct of general meetings, the Company processes personal data as described above on the basis of the applicable data protection provisions

The processing of personal data in connection with general meetings is carried out for the purpose of processing the registration and participation of shareholders in the general meeting (e.g. verification of the right to participate) and to enable shareholders to exercise their rights in the context of the general meeting (including registration and granting and revocation of proxies).

When authorising the proxies appointed by the Company for the Annual General Meeting, the declaration of proxy must be recorded by the Company in a verifiable manner and kept for three years in a manner protected against access (Section. 134 para. 3 sentence 5 AktG).

We have a legitimate interest in ensuring the orderly conduct of the Annual General Meeting. If you submit questions via the AGM-Portal prior to the Annual General Meeting in accordance with Section 1 para. 2 of the COVID 19-Act in conjunction with the specifications in the notice of the ordinary

virtual Annual General Meeting or declare objections to resolutions of the Annual General Meeting, we therefore process the name, date of birth and address of the shareholder and the shareholder number as well as your - if provided - e-mail address for their processing. If you authorise a third party to attend the Annual General Meeting, we also process the name and address of the authorised representative. The legal basis for the processing in these cases is also the respective statutory provisions in conjunction with Art. 6 para. 1 lit. c) DSGVO.

Should we wish to process your personal data for a purpose not previously mentioned, we will inform you of this beforehand within the framework of the legal provisions.

- **4. Will your data be transferred to a third country?**

In order to comply with the above purposes, it may be necessary for your personal data to be transferred outside the European Economic Area (EEA) for one of the above purposes. If we transfer personal data to service providers or group companies outside the EEA, the transfer will only take place if the third country has been confirmed by the EU Commission as having an adequate level of data protection or if other appropriate data protection guarantees (e.g. binding internal company data protection regulations or EU standard contractual clauses) are in place.

- **To which categories of recipients do we pass on your data, if any?**

External service providers:

We use external service providers for the administration and technical management of the share register as well as for the conduct of the general meetings (e.g. share registrar, IT service provider, AGM service provider, service provider for the printing and dispatch of shareholder notifications and legal advisors). Commissioned service providers only receive personal data from the Company that is necessary for the performance of the commissioned service and process this data exclusively in accordance with the instructions of the Company.

Other recipients:

If you participate in the Annual General Meeting, we are obliged pursuant to Section 129 para. 1 sentence 2 AktG to enter your name, place of residence and the number of shares represented in the list of participants. This data may be inspected by other shareholders and participants in the Annual General Meeting during the meeting and by shareholders for up to two years afterwards (Section 129 para. 4 AktG). In addition, personal data may be made publicly available in the context of an announcement of shareholder requests for additions to the agenda as well as counter motions and election proposals by shareholders.

If a shareholder requests that items be added to the agenda (Section 122 para. 2 AktG), the company will announce these items if the relevant requirements are met, stating the name of the shareholder in accordance with the provisions of the AktG. Likewise, the Company will publish counter motions and election proposals from shareholders on the internet, stating the name of the shareholder, if the relevant requirements are met in accordance with the provisions of the AktG (Sections 126 para. 1, 127 AktG). In addition, we may be obliged to transmit your personal data to further recipients, such

as authorities for the fulfilment of statutory notification obligations (e.g. in the case of voting rights notifications).

- **How long will your data be stored?**

As a matter of principle, we anonymise or delete your personal data as soon as and insofar as it is no longer required for the purposes stated herein, unless statutory obligations to provide proof and/or to retain data (in accordance with the AktG, the German Commercial Code, the German Fiscal Code or other legal provisions) oblige us to continue to store it. For data collected in connection with general meetings, the storage period is regularly up to three years.

The data stored in the share register must regularly be retained by us for ten years after the sale of the shares for reasons of commercial and tax law. Beyond this, we only retain personal data in individual cases if this is necessary in connection with claims asserted against our company (statutory limitation periods of up to thirty years).

- **7. Cookies**

- When using the HV-Portal, only technically necessary cookies are used. These cookies enable the use of the website and are absolutely necessary for the use of its functions. This means, for example, the storage of log-in data or the language selection through so-called session cookies (which are deleted when the browser is closed). **Cookies used**

• Cookie	• Description	• Storage period	• Classification
• PHPSessionID	• Standard session identification for PHP	• Deletion with closing the browser	• Necessary
• cookieaccepted	• Cookie to store consent to the cookie bar function and thus hide it in the view.	• 10 days	• Necessary

You can refuse the storage of cookies by websites and applications on your end devices or adjust your browser settings. Deactivating technically necessary cookies will restrict the use of the website.

Browser settings

You can refuse the storage of cookies by websites and applications on your end devices or adjust your browser settings. In the latter case, users will receive a warning before cookies are stored. Users can also adjust their settings so that their browser either rejects all cookies or only third-party cookies. Users can also delete cookies that have been stored previously. It should be noted that the settings must be adjusted separately for each browser and on each device used. In the event that users do not wish to use cookies, we would like to point out that, to our regret, we cannot guarantee that our pages will function properly without the use of cookies. Without the use of cookies, some

functions of the websites and applications may not be available or certain pages may not be displayed. Users can click on one of the following links to go directly to the manual of the browser used or, if necessary, to the help function of the browser used.

- [Google Chrome](#)
- [Mozilla Firefox](#)
- [Apple Safari](#)
- [Internet Explorer Edge](#)
[Edge](#)

8. What rights do you have under data protection law?

You can request information about the data stored about you, correction of your personal data, deletion of your personal data and restriction of the processing of your personal data free of charge at the address of the data protection officer mentioned in section 1. You also have the right to data portability. A request for deletion may, where applicable, be opposed by the company's legal obligations to retain data.

Art. 15 DSGVO: Data subject's right to information

You have the right to obtain information from us about what data we are processing about you.

Art. 16 DSGVO: Right to rectification

If the data relating to you is inaccurate or incomplete, you may request that inaccurate information be rectified or that incomplete information be completed.

Art. 17 DSGVO: Right to erasure

Under the conditions of Art. 17 of the DSGVO, you may request the deletion of your personal data. Your right to erasure depends, among other things, on whether the data concerning you is still needed by us to fulfil our legal or contractual duties.

Art. 18 DSGVO: Right to restriction of processing

Under the conditions of Art.18 DSGVO, you may request the restriction of the processing of personal data concerning you.

Art.21 DSGVO: Right to object

You have the right to object to the processing of your data in order to protect the legitimate interests of the Company or a third party by contacting the LEG Group's Data Protection Officer, Hans-Böckler-Straße 38, 40476 Düsseldorf, telephone +49 (0)211/74 07 40-77, e-mail datenschutz@leg-wohnen.de.

If grounds arise from your particular situation that conflict with this data processing, we will stop this processing if we cannot demonstrate that there are compelling legitimate grounds for the processing that override the interests, rights and freedoms of the data subjects, or that the processing is for the establishment, exercise or defense of legal claims.

Art. 7 para. 3 DSGVO: Right to withdraw consent

You have the right to withdraw a given consent to process your personal data at any time. The revocation of consent does not affect the lawfulness of the processing carried out on the basis of the consent until the revocation.

Do you have complaints regarding the handling of your data?

If you have any complaints regarding the processing of your personal data, you can contact the Company's data protection officer at the contact details provided at the beginning of this document in order to seek immediate clarification with the Company. Independently of this, you have the right to lodge a complaint with a competent data protection supervisory authority. The data protection supervisory authority responsible for the Company is:

State Commissioner for Data Protection and Freedom of Information of North Rhine-Westphalia,
P.O. Box 20 04 44, 40102 Düsseldorf, telephone +49.211.384240, e-mail poststelle@ldi.nrw.de.

Status of the information in this document: April 2021.

In the event of relevant changes, we will update this information and make it available on our website. In addition, we will check whether there is an obligation to provide other notification in individual cases in the event of any changes to this data protection information and, if applicable, comply with this existing notification obligation accordingly.